



CLA (CliftonLarsonAllen LLP)
220 South Sixth Street, Suite 300
Minneapolis, MN 55402-1436
612-376-4500 | fax 612-376-4850
CLAconnect.com

MN American Legion, Auxiliary & the Sons
of the American Legion Brain Science Fdn
20 W 12th St State Vet Bldg No. 300a
St. Paul, MN 55155
Attention: Ms. Laura Weber

Dear Ms. Weber:

Enclosed are the original and one copy of the 2018 Exempt
Organization returns and 2019 estimated tax worksheet, as
follows...

2018 Form 990-PF

2019 Federal Estimated Tax Worksheet - Form 990-PF

2018 Minnesota Trust Annual Report

For public inspection purposes, organizations are required to
provide a copy of their annual returns (Form 990-PF) for the
last three years and their exemption application (Form 1023
or 1024) to anyone who requests them. You must provide the
entire Form 990-PF, Form 990-T, and all filed Schedules
including Schedule B. For your convenience, we will provide
an electronic version of the public inspection copy of your
return. Please sign this copy and retain for your records.

The copies stamped "Client Copy" are to be retained for your
files. Before filing the returns, review them carefully to
assure there are no omissions or misstatements. To have
evidence of timely filing, we suggest the returns be mailed
by certified mail, return receipt requested.

Sincerely,

A handwritten signature in black ink, appearing to read 'John J. Tauer', written over a horizontal line.

John J. Tauer, CPA
Principal

TAX RETURN FILING INSTRUCTIONS

FORM 990-PF

FOR THE YEAR ENDING
December 31, 2018

Prepared for	MN American Legion, Auxiliary & the Sons of the American Legion Brain Science Fdn 20 W 12th St State Vet Bldg No. 300a St. Paul, MN 55155
Prepared by	CliftonLarsonAllen LLP 220 South Sixth Street, Suite 300 Minneapolis, MN 55402 612-376-4500
Amount due or refund	An overpayment of \$594. The entire overpayment has been applied to the estimated tax payments.
Make check payable to	No amount is due.
Mail tax return and check (if applicable) to	Department of the Treasury Internal Revenue Service Center Ogden, UT 84201-0027
Return must be mailed on or before	November 15, 2019
Special Instructions	The return should be signed and dated. Please note that the Form 990-PF return contains excess distribution carryover of \$26,566. This may be applied to tax year 2019 and subsequent years.

2019 ESTIMATED TAX FILING INSTRUCTIONS

FORM 990-W

FOR THE YEAR ENDING

December 31, 2019

Prepared for	MN American Legion, Auxiliary & the Sons of the American Legion Brain Science Fdn 20 W 12th St State Vet Bldg No. 300a St. Paul, MN 55155		
Prepared by	CliftonLarsonAllen LLP 220 South Sixth Street, Suite 300 Minneapolis, MN 55402 612-376-4500		
Amount of tax	Total Estimated Tax	\$	1,080
	Less credit from prior year	\$	594
	Less amount already paid on 2019 estimate	\$	0
	Balance due	\$	486
	Payable in full or in installments as follows:		
	Installment	Amount	Due Date
	No. 1	\$ None required	
	No. 2	\$ None required	
	No. 3	\$ 216	September 16, 2019
	No. 4	\$ 270	December 16, 2019
Make check payable to	Not applicable		
Mail voucher and check (if applicable) to	Payments should be made using the Electronic Federal Tax Payment System (EFTPS).		
Special Instructions			

TAX RETURN FILING INSTRUCTIONS

MINNESOTA TRUST ANNUAL REPORT

FOR THE YEAR ENDING

December 31, 2018

Prepared for	MN American Legion, Auxiliary & the Sons of the American Legion Brain Science Fdn 20 W 12th St State Vet Bldg No. 300a St. Paul, MN 55155
Prepared by	CliftonLarsonAllen LLP 220 South Sixth Street, Suite 300 Minneapolis, MN 55402 612-376-4500
Amount due or refund	Balance due of \$25.00
Make check payable to	State of Minnesota
Mail tax return and check (if applicable) to	Minnesota Attorney Generals Office Charities Division 445 Minnesota Street, Suite 1200 St. Paul, MN 55101-2130
Return must be mailed on or before	November 15, 2019
Special Instructions	The copy of the return should be signed and dated by an officer. Please enclose a check in the amount of \$25 payable to "State of Minnesota" with filing.

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2018

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning

, and ending

Name of foundation MN AMERICAN LEGION, AUXILIARY & THE SONS OF THE AMERICAN LEGION BRAIN SCIENCE FDN		A Employer identification number 41-1577513
Number and street (or P.O. box number if mail is not delivered to street address) 20 W 12TH ST STATE VET BLDG	Room/suite 300A	B Telephone number 651-291-1800
City or town, state or province, country, and ZIP or foreign postal code ST. PAUL, MN 55155		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,096,888.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	27,757.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	24,698.	24,698.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	41,238.			
	b Gross sales price for all assets on line 6a	244,665.			
	7 Capital gain net income (from Part IV, line 2)		41,238.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	93,693.	65,936.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2 3,105.	1,553.		1,552.
	c Other professional fees	STMT 3 11,280.	11,280.		0.
	17 Interest				
	18 Taxes	STMT 4 1,184.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	557.	0.		557.
	22 Printing and publications				
23 Other expenses	STMT 5 57.	0.		57.	
24 Total operating and administrative expenses. Add lines 13 through 23	16,183.	12,833.		2,166.	
25 Contributions, gifts, grants paid	56,287.			56,287.	
26 Total expenses and disbursements. Add lines 24 and 25	72,470.	12,833.		58,453.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	21,223.				
b Net investment income (if negative, enter -0-)		53,103.			
c Adjusted net income (if negative, enter -0-)			N/A		

MN AMERICAN LEGION, AUXILIARY & THE SONS

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OF THE AMERICAN LEGION BRAIN SCIENCE FDN

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	24,753.	47,175.	47,175.
	2 Savings and temporary cash investments	51,874.	39,476.	39,476.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock	STMT 6 926,668.	806,912.	806,912.
	c Investments - corporate bonds	STMT 7 143,087.	128,310.	128,310.
	11 Investments - land, buildings, and equipment: basis			
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other	STMT 8 99,638.	73,899.	73,899.	
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation				
15 Other assets (describe)	STATEMENT 9 1,779.	1,116.	1,116.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,247,799.	1,096,888.	1,096,888.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here			<input checked="" type="checkbox"/>
	24 Unrestricted	1,247,799.	1,071,792.	
	25 Temporarily restricted		25,096.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here			<input type="checkbox"/>
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	1,247,799.	1,096,888.		
31 Total liabilities and net assets/fund balances	1,247,799.	1,096,888.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,247,799.
2 Enter amount from Part I, line 27a	2	21,223.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	1,269,022.
5 Decreases not included in line 2 (itemize) UNREALIZED LOSS ON INVESTMENTS	5	172,134.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,096,888.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LONG TERM CAP GAIN			
b SHORT TERM CAP GAIN			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 179,150.		119,377.	59,773.
b 65,515.		84,050.	-18,535.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			59,773.
b			-18,535.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	41,238.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	55,912.	1,133,614.	.049322
2016	70,982.	1,019,174.	.069647
2015	56,457.	1,047,652.	.053889
2014	53,191.	1,085,499.	.049001
2013	63,670.	1,035,883.	.061464

2 Total of line 1, column (d)	2	.283323
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.056665
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,208,896.
5 Multiply line 4 by line 3	5	68,502.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	531.
7 Add lines 5 and 6	7	69,033.
8 Enter qualifying distributions from Part XII, line 4	8	58,453.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
 See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)	
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1 1,062.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2 0.
3 Add lines 1 and 2	3 1,062.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5 1,062.
6 Credits/Payments:	
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a 0.
b Exempt foreign organizations - tax withheld at source	6b 0.
c Tax paid with application for extension of time to file (Form 8868)	6c 1,700.
d Backup withholding erroneously withheld	6d 0.
7 Total credits and payments. Add lines 6a through 6d	7 1,700.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8 44.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 594.
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax <input type="checkbox"/> 594. Refunded <input type="checkbox"/> 0.	11 0.

Part VII-A Statements Regarding Activities		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> MN			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.MNLEGION.ORG</u>	X	
14	The books are in care of ► <u>LAURA WEBER</u> Telephone no. ► <u>651-291-1800</u> Located at ► <u>20 W 12TH ST STATE VET BLDG, ST PAUL, MN</u> ZIP+4 ► <u>55155</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required		Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:		Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	X
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,170,148.
b	Average of monthly cash balances	1b	56,042.
c	Fair market value of all other assets	1c	1,116.
d	Total (add lines 1a, b, and c)	1d	1,227,306.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,227,306.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	18,410.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,208,896.
6	Minimum investment return. Enter 5% of line 5	6	60,445.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	60,445.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	1,062.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,062.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	59,383.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	59,383.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	59,383.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	58,453.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	58,453.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	58,453.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				59,383.
2 Undistributed income, if any, as of the end of 2018:				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	13,378.			
b From 2014				
c From 2015	4,991.			
d From 2016	20,755.			
e From 2017	820.			
f Total of lines 3a through e	39,944.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 58,453.				
a Applied to 2017, but not more than line 2a ...			0.	
b Applied to undistributed income of prior years (Election required - see instructions) ...		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				58,453.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	930.			930.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	39,014.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr. ...			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	12,448.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	26,566.			
10 Analysis of line 9:				
a Excess from 2014 ...				
b Excess from 2015	4,991.			
c Excess from 2016	20,755.			
d Excess from 2017	820.			
e Excess from 2018 ...				

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 11

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ERIC P SOUTA BRAIN SCIENCES CENTER, ONE VETERANS DRIVE MINNEAPOLIS, MN 55417-2399</p>	NONE	I	SCHOLARSHIP FOR BRAIN SCIENCE RESEARCH	1,000.
<p>DON THORPE BRAIN SCIENCES CENTER, ONE VETERANS DRIVE MINNEAPOLIS, MN 55417-2399</p>	NONE	I	SCHOLARSHIP FOR BRAIN SCIENCE RESEARCH	1,000.
<p>UNIVERSITY OF MINNESOTA BRAIN SCIENCES CENTER ONE VETERANS DRIVE. #11B MINNEAPOLIS, MN 55417-2399</p>	NONE	PC	BRAIN SCIENCE RESEARCH	54,287.
<p>Total</p>				56,287.
<p>b <i>Approved for future payment</i></p> <p>NONE</p>				
<p>Total</p>				0.

Form 990-PF (2018)

Underpayment of Estimated Tax by Corporations

Department of the Treasury
Internal Revenue Service

▶ Attach to the corporation's tax return. **FORM 990-PF**

▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

2018

Name MN AMERICAN LEGION, AUXILIARY & THE SONS OF THE AMERICAN LEGION BRAIN SCIENCE FDN	Employer identification number 41-1577513
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Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment			
1 Total tax (see instructions)		1	1,062.
2a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a		
b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b		
c Credit for federal tax paid on fuels (see instructions)	2c		
d Total. Add lines 2a through 2c		2d	
3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty		3	1,062.
4 Enter the tax shown on the corporation's 2017 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5		4	1,589.
5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3		5	1,062.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation must file Form 2220 even if it does not owe a penalty. See instructions.

6 The corporation is using the adjusted seasonal installment method.

7 The corporation is using the annualized income installment method.

8 The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

		(a)	(b)	(c)	(d)
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/18	06/15/18	09/15/18	12/15/18
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	266.	265.	266.	265.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11				
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12				
13 Add lines 11 and 12	13				
14 Add amounts on lines 16 and 17 of the preceding column	14		266.	531.	797.
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	0.	0.	0.	0.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		266.	531.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17	266.	265.	266.	265.
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18				

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2018 and before 7/1/2018	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 5\% (0.05)}{365}$	22	\$	\$	\$
23 Number of days on line 20 after 06/30/2018 and before 10/1/2018	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 5\% (0.05)}{365}$	24	\$	\$	\$
25 Number of days on line 20 after 9/30/2018 and before 1/1/2019	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 5\% (0.05)}{365}$	26	\$	\$	\$
27 Number of days on line 20 after 12/31/2018 and before 4/1/2019	27	SEE ATTACHED WORKSHEET		
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 6\% (0.06)}{365}$	28	\$	\$	\$
29 Number of days on line 20 after 3/31/2019 and before 7/1/2019	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$	30	\$	\$	\$
31 Number of days on line 20 after 6/30/2019 and before 10/1/2019	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$	32	\$	\$	\$
33 Number of days on line 20 after 9/30/2019 and before 1/1/2020	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$	34	\$	\$	\$
35 Number of days on line 20 after 12/31/2019 and before 3/16/2020	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns	38	\$		44.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST/DIVIDENDS	24,698.	0.	24,698.	24,698.	
TO PART I, LINE 4	24,698.	0.	24,698.	24,698.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,105.	1,553.		1,552.
TO FORM 990-PF, PG 1, LN 16B	3,105.	1,553.		1,552.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	11,280.	11,280.		0.
TO FORM 990-PF, PG 1, LN 16C	11,280.	11,280.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	1,184.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,184.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	57.	0.		57.
TO FORM 990-PF, PG 1, LN 23	57.	0.		57.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS - SEE STATEMENT 12	753,869.	753,869.
MUTUAL FUNDS	53,043.	53,043.
TOTAL TO FORM 990-PF, PART II, LINE 10B	806,912.	806,912.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE STATEMENT 12	128,310.	128,310.
TOTAL TO FORM 990-PF, PART II, LINE 10C	128,310.	128,310.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CERTIFICATES OF DEPOSIT	FMV	73,899.	73,899.
TOTAL TO FORM 990-PF, PART II, LINE 13		73,899.	73,899.

FORM 990-PF	OTHER ASSETS		STATEMENT	9
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE	
ACCRUED INTEREST & DIVIDENDS RECEIVABLE	1,779.	1,116.	1,116.	
TO FORM 990-PF, PART II, LINE 15	1,779.	1,116.	1,116.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN CONTRIB	PLAN EXPENSE ACCOUNT
JAMES MUNSON 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	PRESIDENT 2.00	0.	0.	0.
GARY OLSON 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	VICE PRESIDENT 2.00	0.	0.	0.
ROSEMARY NIESEN 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	SECRETARY/TREASURER 2.00	0.	0.	0.
DONNA ARENDS 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
SHAWN DAVIS 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
F.W HABERMAN 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.

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JESSIE HOPPE 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
RICHARD JANSSEN 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
DAN LUDWIG 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
DR. RICHARD MAGRAW 21 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55156	MEMBER 1.00	0.	0.	0.
JILL MUELLER 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
SUE NUNNELEE 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
TIM SANKEN 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
MICHAEL SCHAFFER 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
LLOYD SCHAEFFER 20 W 12TH ST, STATE VETERANS BLDG, #300A ST. PAUL, MN 55155	MEMBER 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JAMES MUNSON, PRESIDENT, BRAIN SCIENCE FOUNDATION
20 WEST 12TH ST, ROOM 300A
ST. PAUL, MN 55155

TELEPHONE NUMBER

651-291-1800

FORM AND CONTENT OF APPLICATIONS

INTERESTED PARTIES SHOULD PROVIDE AN EXPLANATION OF THE MISSION OF THE ORGANIZATION/INDIVIDUAL.

ANY SUBMISSION DEADLINES

N/A

RESTRICTIONS AND LIMITATIONS ON AWARDS

N/A

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
							ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ALLERGAN INC SR UNSECURED CPN 3.375% DUE 09/15/20 DTD 09/14/10 FC 03/15/11 Moody BAA3, S&P BBB CUSIP 018490AN2 Acquired 06/1/14	28,000	99.56	27,883.36	99.8910	27,969.48	86.12	278.25	945	3.37
CITIGROUP INC SR UNSECURED CALLABLE CPN 2.900% DUE 12/08/21 DTD 12/08/16 FC 06/08/17 CALL 11/08/21 @ 100.000 Moody BAA1, S&P BBB+ CUSIP 172967LC3 Acquired 02/23/18	25,000	100.12 100.13	25,031.74 25,040.50	98.3780	24,594.50	-437.24	46.32	725	2.94
GENERAL ELEC CAP CORP SR UNSECURED CPN 3.150% DUE 09/07/22 DTD 09/07/12 FC 03/07/13 Moody BAA1, S&P BBB+ CUSIP 36962G6F6 Acquired 04/03/13 nc	25,000	101.27	25,324.03	94.5030	23,625.75	-1,698.28	249.38	788	3.33
JPMORGAN CHASE & CO SUBORDINATED CPN 3.375% DUE 05/01/23 DTD 05/01/13 FC 11/01/13 Moody A3, S&P BBB+ CUSIP 46625HJJ0 Acquired 01/14/16	38,000	99.59	37,851.58	97.8070	37,166.66	-684.92	213.75	1,283	3.45

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMGEN INC SR UNSECURED CALLABLE CPN 3.625% DUE 05/22/24 DTD 05/22/14 FC 11/22/14 CALL 02/22/24 @ 100.000 Moody BAA1 - S&P A CUSIP 031162BV1 Acquired 09/02/14	15,000	101.46 102.49	15,219.00 15,377.40	99.6890	14,953.35	-265.65	58.91	544	3.63
Total Corporate Bonds	131,000		\$131,309.71 \$131,476.87		\$128,309.74	-\$2,999.97	\$846.61	\$4,284	3.34

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Certificates of Deposit

Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model.

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMER EXP CENT BANK CD SALT LAKE CITY UT ACT/665 FDIC INSURED CPN 2.250% DUE 10/28/20 DTD 10/28/15 FC 04/28/16 CUSIP 02587DF37 Acquired 09/28/17	20,000	100.55 100.89	20,111.26 20,185.00	98.4540	19,690.80	-420.46	80.13	450	2.28
Total Certificates of Deposit	20,000		\$20,111.26 \$20,185.00		\$19,690.80	-\$420.46	\$80.13	\$450	2.29
Total Fixed Income Securities			\$151,420.97 \$151,661.87		\$148,000.54	-\$3,420.43	\$926.74	\$4,734	3.20

Stocks, options & ETFs**Stocks and ETFs**

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
BANK NEW YORK MELLON								
CORP								
BK								
Acquired 12/13/13	75	32.88	2,466.02		3,530.25	1,064.23		
Acquired 05/08/14	410	34.46	14,131.18		19,298.70	5,167.52		
Total	485	\$34.22	\$16,597.20	47.0700	\$22,828.95	\$6,231.75	\$543	2.38
BORG WARNER INC								
BWA								
Acquired 03/03/17	530	43.01	22,800.34		18,412.20	-4,388.14		
Acquired 07/12/17	275	45.55	12,526.86		9,553.50	-2,973.36		
Total	805	\$43.88	\$35,327.20	34.7400	\$27,965.70	-\$7,361.50	\$547	1.96
CANADIAN PACIFIC RAILWAY								
LTD								
CP								
Acquired 09/16/15	5	149.55	747.79		888.10	140.31		
Acquired 11/17/15	55	138.93	7,641.51		9,769.10	2,127.59		
Acquired 12/08/16	85	155.16	13,189.05		15,097.70	1,908.65		
Total	145	\$148.82	\$21,578.35	177.6200	\$25,754.90	\$4,176.55	\$282	1.09
CATERPILLAR INC								
CAT								
Acquired 11/09/16	220	91.13	20,050.42	127.0700	27,955.40	7,904.98		2.70
CHEVRON CORPORATION								
CVX								
Acquired 01/22/16	135	81.93	11,061.14		14,686.65	3,625.51		
Acquired 01/26/16	70	83.45	5,841.92		7,615.30	1,773.38		
Total	205	\$82.45	\$16,903.06	108.7900	\$22,301.95	\$5,398.89	\$918	4.12
CISCO SYSTEMS INC								
CSCO								
Acquired 04/06/11	65	17.88	1,162.43		2,816.45	1,654.02		
Acquired 04/28/11	765	17.30	13,234.50		33,147.45	19,912.95		
Total	830	\$17.35	\$14,396.93	43.3300	\$35,963.90	\$21,566.97	\$1,096	3.05

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
CITIGROUP INC NEW								
C								
Acquired 05/08/15	405	53.94	21,847.85		21,084.30	-763.55		
Acquired 06/05/15	185	56.08	10,376.22		9,631.10	-745.12		
Total	590	\$54.62	\$32,224.07	52.0600	\$30,715.40	-\$1,508.67	\$1,062	3.46
COHERENT INC								
COHR								
Acquired 07/19/18	40	170.92	6,836.84	105.7100	4,228.40	-2,608.44	N/A	N/A
CORNING INC								
GLW								
Acquired 09/12/12	370	12.82	4,746.66		11,177.70	6,431.04		
Acquired 09/28/12	990	13.07	12,945.24		29,907.90	16,962.66		
Acquired 08/26/15	595	16.14	9,603.42		17,974.95	8,371.53		
Total	1,955	\$13.96	\$27,295.32	30.2100	\$59,060.55	\$31,765.23	\$1,408	2.38
FEDEX CORPORATION								
FDX								
Acquired 04/11/13	145	97.46	14,132.44		23,392.85	9,260.41		
Acquired 07/02/18	50	228.34	11,417.05		8,066.50	-3,350.55		
Acquired 12/19/18	40	168.38	6,735.36		6,453.20	-282.16		
Total	235	\$137.38	\$32,284.85	161.3300	\$37,912.55	\$5,627.70	\$611	1.61
GOLDCORP INC								
GG								
Acquired 10/15/18	1,195	10.84	12,962.52	9.8000	11,711.00	-1,251.52	96	0.81
KROGER COMPANY COMMON								
KR								
Acquired 10/04/17	785	20.46	16,064.79		21,587.50	5,522.71		
Acquired 10/11/17	10	21.53	215.32		275.00	59.68		
Acquired 10/24/17	860	21.51	18,500.32		23,650.00	5,149.68		
Acquired 11/17/17	355	23.22	8,245.34		9,762.50	1,517.16		
Total	2,010	\$21.41	\$43,025.77	27.5000	\$55,275.00	\$12,249.23	\$1,126	2.04
LAS VEGAS SANDS CORP								
LVS								
Acquired 01/28/16	165	42.60	7,029.00		8,588.25	1,559.25		
Acquired 02/23/16	195	47.49	9,262.29		10,149.75	887.46		
Total	360	\$45.25	\$16,291.29	52.0500	\$18,738.00	\$2,446.71	\$1,080	5.76

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
NEWELL BRANDS INC								
NWL								
Acquired 03/05/18	60	27.77	1,666.62		1,115.40	-551.22		
Acquired 03/13/18	900	28.98	26,089.74		16,731.00	-9,358.74		
Acquired 08/09/18	40	21.04	841.95		743.60	-98.35		
Total	1,000	\$28.60	\$28,598.31	18.5900	\$18,590.00	-\$10,008.31	\$920	4.95
NINTENDO LTD ADR								
NTDOY								
Acquired 07/22/15	330	21.68	7,155.72		10,923.00	3,767.28		
Acquired 07/30/15	15	22.34	335.17		496.50	161.33		
Acquired 08/17/15	130	24.74	3,216.97		4,303.00	1,086.03		
Acquired 08/18/15	30	24.81	744.50		993.00	248.50		
Acquired 07/25/16	180	26.65	4,797.00		5,958.00	1,161.00		
Acquired 12/20/16	375	26.50	9,937.50		12,412.50	2,475.00		
Total	1,060	\$24.70	\$26,186.86	33.1000	\$36,086.00	\$8,899.14	\$585	1.67
NUTRIEN LTD								
NTR								
Acquired 05/05/11	78,01449	37.77	2,947.11		3,666.68	719.57		
Acquired 05/13/11	401,21739	36.51	14,247.25		18,857.22	4,609.97		
Acquired 10/28/14	133,73913	42.95	5,745.32		6,285.74	540.42		
Acquired 07/14/17	156,02899	44.07	6,877.64		7,333.36	455.72		
Total	769	\$38.77	\$29,817.32	47.0000	\$36,143.00	\$6,325.68	\$1,323	3.66
PARKER-HANNIFIN CORP								
PH								
Acquired 02/06/18	75	187.22	14,041.88		11,185.50	-2,856.38		
Acquired 04/27/18	85	165.69	14,083.97		12,676.90	-1,407.07		
Total	160	\$175.79	\$28,125.85	149.1400	\$23,862.40	-\$4,263.45	\$486	2.04
POPULAR INC								
BPOP								
Acquired 11/25/14	225	32.76	7,371.04		10,624.50	3,253.46		
Acquired 12/10/14	320	32.88	10,521.82		15,110.40	4,588.58		
Total	545	\$32.83	\$17,892.86	47.2200	\$25,734.90	\$7,842.04	\$545	2.12
QUALCOMM INC								
QCOM								
Acquired 03/29/18	285	54.86	15,636.95		16,219.35	582.40		
Acquired 04/13/18	35	55.55	1,944.50		1,991.85	47.35		

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/16/18	230	56.34	12,959.95	13,089.30	129.35			
Total	550	\$55.53	\$30,541.40	56.9100	\$31,300.50	\$759.10	\$1,364	4.36
RIO TINTO PLC SPONSORED ADR								
RIO								
Acquired 12/09/16	535	41.49	22,200.52		25,936.80	3,736.28		
Acquired 05/19/17	230	41.47	9,538.12		11,150.40	1,612.28		
Total	765	\$41.49	\$31,738.64	48.4800	\$37,087.20	\$5,348.56	\$2,355	6.35
SIEMENS A G - ADR								
SIEGY								
Acquired 10/29/08 nc	135	26.47	3,574.11		7,570.80	3,996.69		
Acquired 10/31/14	170	56.21	9,556.09		9,533.60	-22.49		
Acquired 01/27/15	50	55.22	2,761.20		2,804.00	42.80		
Acquired 12/13/16	70	61.72	4,321.10		3,925.60	-395.50		
Total	425	\$47.56	\$20,212.50	56.0800	\$23,834.00	\$3,621.50	\$673	2.82
SONY CORP ADR NEW								
SNE								
Acquired 01/23/13	860	13.18	11,336.52		41,520.80	30,184.28		
Acquired 12/03/14	395	21.98	8,685.81		19,070.60	10,384.79		
Acquired 01/26/15	115	23.41	2,692.61		5,552.20	2,859.59		
Total	1,370	\$16.58	\$22,714.94	48.2800	\$66,143.60	\$43,428.66	\$282	0.43
TEREX CORPORATION NEW								
TEX								
Acquired 07/16/08 nc	145	47.60	6,902.15		3,997.65	-2,904.50		
Acquired 10/31/08 nc	270	17.16	4,634.98		7,443.90	2,808.92		
Acquired 10/14/09 nc	365	22.52	8,672.47		10,614.45	1,941.98		
Total	800	\$25.26	\$20,209.60	27.5700	\$22,056.00	\$1,846.40	\$320	1.45
TRACTOR SUPPLY COMPANY								
TSCO								
Acquired 06/16/17	190	55.21	10,491.55		15,853.60	5,362.05		
Acquired 06/19/17	80	55.21	4,417.00		6,675.20	2,258.20		
Acquired 07/25/17	110	53.48	5,883.69		9,178.40	3,294.71		
Total	380	\$54.72	\$20,792.24	83.4400	\$31,707.20	\$10,914.96	\$471	1.49

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
3M CO MMM Acquired 08/18/06 nc	115	71.18	8,185.70	190.5400	21,912.10	13,726.40	626	2.85
Total Stocks and ETFs			\$580,790.04		\$753,868.60	\$173,078.56	\$19,476	2.58
Total Stocks, options & ETFs			\$580,790.04		\$753,868.60	\$173,078.56	\$19,476	2.58

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Business Record Details »

Minnesota Business Name

**Minnesota American Legion, Auxiliary, and The Sons of The American Legion
Brain Science Foundation**

Business Type

Nonprofit Corporation (Domestic)

MN Statute

317A

File Number

1A-501

Home Jurisdiction

Minnesota

Filing Date

01/06/1987

Status

Active / In Good Standing

Renewal Due Date

12/31/2020

Registered Office Address

20 W 12th Str State Veterans Serv Bldg
St Paul, MN 55155
USA

Registered Agent(s)

(Optional) Currently No Agent

President

Gary H Olson
20 W. 12th St Room 300A
St. Paul, MN 55155-2000
USA

Filing History

Filing History

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<input type="checkbox"/>	Filing Date	Filing	Effective Date
<input type="checkbox"/>	01/06/1987	Original Filing - Nonprofit Corporation (Domestic) (Business Name: Minnesota American Legion, Auxiliary, and The Sons of The American Legion Brain Science Foundation)	

<input type="checkbox"/>	Filing Date	Filing	Effective Date
<input type="checkbox"/>	02/19/1987	Nonprofit Corporation (Domestic) Business Name (Business Name: Minnesota American Legion and American Legion Auxiliary Brain Science Foundation)	
	02/19/1987	Nonprofit Corporation (Domestic) Business Name (Business Name: Minnesota American Legion and Auxiliary Brain Science Foundation)	
<input type="checkbox"/>	01/28/1994	Amendment - Nonprofit Corporation (Domestic)	
<input type="checkbox"/>	12/12/2003	Nonprofit Corporation (Domestic) Business Name (Business Name: Minnesota American Legion, Auxiliary, and The Sonsof The American Legion Brain Science Foundation)	
<input type="checkbox"/>	10/05/2007	Amendment - Nonprofit Corporation (Domestic)	